

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

-----	X	
	:	Chapter 11
In re:	:	
	:	Case No. 08-13555 (SCC)
LEHMAN BROTHERS HOLDINGS, INC., <i>et al.</i>	:	
	:	(Jointly Administered)
Debtors.	:	
	:	Ref. Docket No. 55607
-----	X	

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

KONSTANTINA HAIDOPOULOS, being duly sworn, deposes and says:

1. I am employed as a Senior Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 28, 2017, I caused to be served the "Notice of Defective Transfers," dated June 28, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Konstantina Haidopoulos

Konstantina Haidopoulos

Sworn to before me this
29th day of June, 2017

/s/ Sidney J. Garabato

Notary Public, State of New York

No. 01GA6218946

Qualified in New York County

Commission Expires March 15, 2018

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re:
LEHMAN BROTHERS HOLDINGS, INC., et al.

Chapter 11

Case No. 08-13555 (SCC)

(Jointly Administered)

Debtors.

NOTICE OF DEFECTIVE TRANSFER

Transferor: EFG BANK AG
ATTN: ANDREA FERRARI
VIA STEFANO FRANSINI 8
LUGANO 6900
SWITZERLAND

Transferee: BANK JULIUS BAER & CO. LTD.
ATTN: PATRIK ROOS
BAHNOFSTRASSE 36
ZURICH, CH-8010
SWITZERLAND

Your transfer as presented is defective for the reason(s) checked below:

- ☐ case closed on:
- ☐ address missing: (transferor) (transferee)
- ☐ signature of transferor missing
- ☐ claim number in error
- ☐ claim number not stated
- ☐ amount of transfer missing in Transfer Agreement
- ☐ not FRBP 3001(e)(2) OR (4); claim **NOT** on file
- ☐ not FRBP 3001(e)(1) OR (3); claim **ON** file
- ☐ notice amount does not match transfer agreement
- ☐ cannot docket transfer-claim #
 - ☐ expunged by court order
 - ☐ previously transferred
- ☐ By document #

(X) Other

[Specify]: Transferor does not hold the specified claim piece

NOTE:

Docket Number: 55607

/s/ Lauren Rodriguez

Epiq Bankruptcy Solutions LLC
as Claims Agent for the Debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 28, 2017

EXHIBIT B

LBH_DEFTRANSNTC_DN55607_6-28-17

LBH_DEFTRANSNTC_DN55607_6-28-17

EFG BANK AG
ATTN: ANDREA FERRARI
VIA STEFANO FRANSINI 8
LUGANO 6900
SWITZERLAND

BANK JULIUS BAER & CO. LTD.
ATTN: PATRIK ROOS
BAHNOFSTRASSE 36
ZURICH, CH-8010
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